




BANK COMPLIANCE WORKSHOP

June 13 – 15, 2017

Ramkota Hotel | Bismarck, ND



NORTH DAKOTA
Bankers
ASSOCIATION



Compliance is one of the most crucial and consuming issues in the financial industry today.

The regulatory agencies are making it clear through their examinations that no bank can afford to be without a comprehensive, well-managed compliance program. Your compliance officer must be able to run the process, including a bank-wide training program, like a well-oiled machine.

As a major resource for banking education, NDBA provides this year's Bank Compliance Workshop. By attending, bankers will ensure they have the latest knowledge they need to properly handle compliance issues. Constant changes and the highly-charged regulatory environment make this information more important than ever. You will have the opportunity to interact with nationally-known compliance experts and with other bank compliance officers.

Lending Fundamentals

Day One: Tuesday, June 13, 2017

8:00 a.m. – 4:30 p.m.

- Regulation B
- FCRA
- Regulation Z
- Flood Disaster Protection Act
- Regulation O
- Servicemembers Civil Relief Act
- Military Lending Act
- RESPA

Operations/Deposit Fundamentals

Day Two: Wednesday, June 14, 2017

8:30 a.m. – 3:00 p.m.

- Electronic Funds Transfer Act
- Regulation CC
- Regulation DD
- Regulation D
- Bank Protection Act/Security
- UDAP
- BSA/AML

3:15 p.m. – 4:30 p.m.

Examiner Presentations

Regulators have been invited to conduct a Q&A session and provide a short presentation on examination priorities and common violations cited in compliance examinations.

“Hot Topics” in Compliance

Day Three: Thursday, June 15, 2017

8:30 a.m. – 3:30 p.m.

- CDD/Beneficial Ownership
- Regulation E issues
- Account Titling
- Prepaid Access
- CRA
- TRID developments
- “Take Aways” from recent enforcement actions
- Flood
- HMDA changes

Register for individual days or the entire workshop!

Breakfast and lunch will be served each day.

Breaks are scheduled throughout the day.

Objectives

The purpose of the Bank Compliance Workshop is to provide bankers with the knowledge and understanding of laws and regulations that impact lending practices, deposit functions, marketing and management by:

- Developing an understanding of federal laws and regulations.
- Developing basic management skills and effective techniques for compliance administration.
- Providing instruction designed to supplement in-bank training programs and benefit attendees through exposure to the experience and knowledge of other bankers.

Audience

Participants should have at least one year of compliance experience. You may choose to attend any combination of days, however, attendance at the entire workshop is highly recommended for:

- Those who manage the entire compliance program for their institution.
- Compliance officers from community banks.
- Compliance officers who are involved in compliance monitoring, audit, review, or training processes.

Benefit of Attending

- The nationally-known faculty are top-notch in the compliance field and you'll have plenty of opportunities to share with them your specific compliance concerns during sessions and breaks.
- You'll also get to share your compliance concerns and solutions with participants from similar institutions who have many of the same concerns that you do.
- You'll receive an information-packed manual containing program and resource material for each regulation.
- Participants who attend the entire program will receive a completion certificate to document their ongoing commitment to bank compliance education.
- You'll return to your institution with new and valuable compliance insights and solutions.



Rob Hoff

CRCM, VP Compliance Services
Missouri Bankers Association

Rob Hoff is a career banker, originally from Macon, Missouri. He was an FDIC Examiner for 4 years in the northern part of Missouri, and has been compliance officer, loan officer and branch manager for five community banks in Central Missouri. He has been a Vice President with the Missouri Bankers Association since 2013 assisting community banks throughout Missouri with compliance matters. He has a finance degree from Eastern Illinois University, Charleston, IL, a graduate banking degree from the University of Wisconsin, Madison, WI, and holds a Certified Regulatory Compliance Manager designation from the American Bankers Association.



Chuck Lewis

CRCM, VP Compliance Services
Missouri Bankers Association

Chuck, who began his banking career over 40 years ago, was most recently director for risk management services for RSM McGladrey in Kansas City. He also served as president of UMB Consulting Services, a subsidiary of UMB Financial Corporation. For over 20 years, he was director of regulatory services for UMB. A Certified Regulatory Compliance Manager, Chuck frequently speaks at programs sponsored by the American Bankers Association and numerous state banking associations. In 2004, he received the distinguished service award at the ABA's Regulatory Compliance Conference. He is a past member of the ABA's Executive Compliance Committee and a faculty member at numerous banking schools including the Graduate School of Banking in Wisconsin.

Questions? Contact NDBA SVP of Education
Dorothy Lick at 701.223.5303 or email dorothy@ndba.com.

Navigating through a world of regulations.

Hotel Information



A block of rooms has been reserved at the Ramkota Hotel. Deadline: **May 19**. Please call the hotel directly and ask for the North Dakota Bankers Association room block.

Ramkota Hotel

800 S 3rd St, Bismarck ND

Phone: 701.258.7700

Room rate: \$109

Registration Fees

MEMBER REGISTRATION	REGISTER BY MAY 23	REGISTER AFTER MAY 23
Three-Day Workshop	\$885	\$925
Individual Days	\$315	\$345

Refund Policy

Refund less \$50 will be made if requested one week prior to school. No refunds after that date except for special circumstances. If you are unable to attend, substitution by another bank employee is encouraged.

Tax Disclaimer

The cost of meals included in the registration fees is \$32.00 per person, per day. This information is provided for your tax records, in keeping with the IRS 50% deductible provision under Section 274 (n) of the Internal Revenue Code.

Attire

Business casual or casual wear is recommended for all sessions. Meeting room temperatures and personal comfort zones vary widely. It is recommended that you bring a sweater or jacket to the workshop.

Compliance Workshop Certificate

Those attending the entire workshop will receive a workshop certificate. This requires attendance at all sessions and completion of all assignments that may be required by the faculty.



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PO Box 1438

Bismarck ND 58502-1438

Phone: 701-223-5303

www.ndba.com

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Organization Information

Bank/Company _____ Phone _____

Address _____

City _____ State _____ Zip _____

Registrants

Registrant 1 _____

Branch Location _____

Email _____

CHOOSE ONE

- ☐ Entire Workshop
☐ June 13 – Lending
☐ June 14 – Operations
☐ June 15 – “Hot Topics”

Registrant 2 _____

Branch Location _____

Email _____

CHOOSE ONE

- ☐ Entire Workshop
☐ June 13 – Lending
☐ June 14 – Operations
☐ June 15 – “Hot Topics”

Registrant 3 _____

Branch Location _____

Email _____

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Mail this form with payment to:
 North Dakota Bankers Association
 Attn: Registration
 PO Box 1438
 Bismarck ND 58502-1438

MEMBER REGISTRATION	REGISTER BY MAY 23	REGISTER AFTER MAY 23	NUMBER OF REGISTRANTS X FEE
Entire Workshop	\$885	\$925	
Lending Fundamentals (June 13)	\$315	\$345	
Operations/Deposit Fundamentals (June 14)	\$315	\$345	
“Hot Topics” in Compliance (June 15)	\$315	\$345	
TOTAL AMOUNT DUE			

- ☐ Please send an invoice.
☐ Check enclosed.
☐ I'd like to pay by credit card.
Please contact me.

PRINT FORM

EMAIL FORM